

Requirement		Physical Plant/Environmental Safety	Specialty	In Compliance?			Deficiency Type					
Type	Section	Regulation/Statute Language	Tool	Yes	No	N/A	Type A	Type B	TV	TA	Notes	
HSC	1569.32	1569.32 Any duly authorized officer, employee, or agent of the department may, upon presentation of proper identification, enter and inspect any place providing personal care, supervision, and services at any time, with or without advance notice, to secure compliance with, or to prevent a violation of, this chapter.										
CCR	87755(c)	(c)The licensing agency shall have the authority to inspect, audit, and copy resident or facility records upon demand during normal business hours. Records may be removed if necessary for copying. Removal of records shall be subject to the requirements in Sections 87412(f), 87506(d), and 87508(b).										
HSC	1569.311	1569.311 Every residential care facility for the elderly shall have one or more carbon monoxide detectors in the facility that meet the standards established in Chapter 8 (commencing with Section 13260) of Part 2 of Division 12. The department shall account for the presence of these detectors during inspections.										
CCR	87303(a)	(a) The facility shall be clean, safe, sanitary and in good repair at all times. Maintenance shall include provision of maintenance services and procedures for the safety and well-being of residents, employees and visitors.										
CCR	87303(a)(1)	(1) Floor surfaces in bath, laundry and kitchen areas shall be maintained in a clean, sanitary, and odorless condition.										
CCR	87303(c)	(c) All window screens shall be clean and maintained in good repair.										
CCR	87303(d)	(d) There shall be lamps or light appropriate for the use of each room and sufficient to ensure the comfort and safety of all persons in the facility.										
CCR	87303(e)	(e) Water supplies and plumbing fixtures shall be maintained as follows:										
CCR	87303(e)(2)	(2) Faucets used by residents for personal care such as shaving and grooming shall deliver hot water. Hot water temperature controls shall be maintained to automatically regulate the temperature of hot water used by residents to attain a temperature of not less than 105 degree F (41 degree C) and not more than 120 degree F (49 degree C).										
CCR	87303(e)(3)	(3) Taps delivering water at 125 degree F (52 degree C) or above shall be prominently identified by warning signs.										
CCR	87303(e)(4)	(4) Grab bars shall be maintained for each toilet, bathtub and shower used by residents.										
CCR	87303(e)(5)	(5) Non-skid mats or strips shall be used in all bathtubs and showers.										
CCR	87303(e)(6)	(6) Toilet, handwashing and bathing facilities shall be maintained in operating condition. Additional equipment shall be provided in facilities accommodating physically handicapped and/or nonambulatory residents, based on the residents' needs.										
CCR	87303(g)	(g) Facilities which have machines and do their own laundry shall:										
CCR	87303(g)(1)	(1) Have adequate supplies available and equipment maintained in good repair. Space used to sort soiled linen shall be separate from the clean linen storage and handling area. Except for facilities licensed for fifteen (15) residents or less, the space used to do laundry shall not be part of an area used for storage of anything other than clean linens and/or other supplies normally associated with laundry activities. Steam, odors, lint and objectionable laundry noise shall not reach resident or employee areas.										
CCR	87303(g)(2)	(2) Make at least one machine available for use by residents who are able and who desire to do their own personal laundry. This machine shall be maintained in good repair. Equipment in good repair shall be provided to residents who are capable and desire to iron their own clothes.										
CCR	87303(h)	(h) Emergency lighting shall be maintained. At a minimum this shall include flashlights, or other battery powered lighting, readily available in appropriate areas accessible to residents and staff. Open-flame lights shall not be used.										

CCR	87303(i)	(i) Facilities shall have signal systems which shall meet the following criteria:									
CCR	87303(i)(1)	(1) All facilities licensed for 16 or more and all residential facilities having separate floors or buildings shall have a signal system which shall:									
CCR	87303(i)(1)(A)	(A) Operate from each resident's living unit.									
CCR	87303(i)(1)(B)	(B) Transmit a visual and/or auditory signal to a central staffed location or produce an auditory signal at the living unit loud enough to summon staff.									
CCR	87303(i)(1)(C)	(C) Identify the specific resident living unit.									
CCR	87303(i)(2)	(2) Facilities having more than one wing, floor or building shall be permitted to have a separate system in each, provided each meets the above criteria.									
CCR	87307(a)	(a) Living accommodations and grounds shall be related to the facility's function. The facility shall be large enough to provide comfortable living accommodations and privacy for the residents, staff, and others who may reside in the facility. The following provisions shall apply:									
CCR	87307(a)(2)	(2) Resident bedrooms shall be provided which meet, at a minimum, the following requirements:									
CCR	87307(a)(2)(A)	(A) Bedrooms shall be large enough to allow for easy passage between and comfortable usage of beds and other required items of furniture specified below, and any resident assistant devices such as wheelchairs or walkers.									
CCR	87307(a)(2)(B)	(B) No room commonly used for other purposes shall be used as a sleeping room for any resident. This includes any hall, stairway, unfinished attic, garage, storage area, shed or similar detached building.									
CCR	87307(a)(2)(C)	(C) No bedroom of a resident shall be used as a passageway to another room, bath or toilet.									
CCR	87307(a)(2)(D)	(D) Not more than two residents shall sleep in a bedroom.									
CCR	87307(b)	(b) Toilets and bathrooms shall be conveniently located. The licensed capacity shall be established based on Section 87158, Capacity, and the following:									
CCR	87307(b)(1)	(1) At least one toilet and washbasin for each six (6) persons, which include residents, family and personnel.									
CCR	87307(b)(2)	(2) At least one bathtub or shower for each ten (10) persons, which includes residents, family and live-in personnel.									
CCR	87307(c)	(c) Individual privacy shall be provided in all toilet, bath and shower areas.									
CCR	87307(d)	(d) The following space and safety provisions shall apply to all facilities:									
CCR	87307(d)(1)	(1) Sufficient room shall be available to accommodate persons served in comfort and safety.									
CCR	87307(d)(2)	(2) The premises shall be maintained in a state of good repair and shall provide a safe and healthful environment.									
CCR	87307(d)(3)	(3) All persons shall be protected against hazards within the facility through provision of the following:									
CCR	87307(d)(3)(A)	(A) Protective devices such as nonslip material on rugs.									
CCR	87307(d)(3)(B)	(B) Information and instruction regarding life protection and other appropriate subjects.									
CCR	87307(d)(4)	(4) Stairways, inclines, ramps and open porches and areas of potential hazard to residents with poor balance or eyesight shall be made inaccessible to residents unless equipped with sturdy hand railings and unless well-lighted.									
CCR	87307(d)(5)	(5) Night lights shall be maintained in hallways and passages to nonprivate bathrooms.									
CCR	87307(d)(6)	(6) All outdoor and indoor passageways and stairways shall be kept free of obstruction.									

CCR	87307(d)(7)	(7) Fireplaces and open-faced heaters shall be adequately screened.									
CCR	87307(e)	(e) Facilities providing services to residents who have physical or mental disabilities shall assure the inaccessibility of fishponds, wading pools, hot tubs, swimming pools or similar bodies of water, when not in active use by residents, through fencing, covering or other means.									
HSC	1569.6991	1569.6991 On and after January 1, 1999, no security window bars may be installed or maintained on any residential care facility for the elderly unless the security window bars meet current state and local requirements, as applicable, for security window bars and safety release devices.									
CCR	87468(a)	(a) Each resident shall have personal rights which include, but are not limited to, the following:									
CCR	87468(a)(6)	(6) To leave or depart the facility at any time and to not be locked into any room, building, or on facility premises by day or night. This does not prohibit the establishment of house rules, such as the locking of doors at night, for the protection of residents; nor does it prohibit, with permission of the licensing agency, the barring of windows against intruders.									
CCR	87309(a)	(a) Disinfectants, cleaning solutions, poisons, firearms and other items which could pose a danger if readily available to clients shall be stored where inaccessible to clients.									
CCR	87309(a)(1)	(1) Storage areas for poisons, and firearms and other dangerous weapons shall be locked.									
CCR	87309(a)(2)	(2) In lieu of locked storage of firearms, the licensee may use trigger locks or remove the firing pin.									
CCR	87309(a)(2)(A)	(A) Firing pins shall be stored and locked separately from firearms.									
CCR	87309(a)(3)	(3) Ammunition shall be stored and locked separately from firearms.									
CCR	87309(b)	(b) Medicines shall be stored as specified in Section 87465(c) and separately from other items specified in (a) above.									
CCR	87309(c)	(c) The items specified in (a) above shall not be stored in food storage areas or in storage areas used by or for clients.									
CCR	87465(h)	(h) The following requirements shall apply to medications which are centrally stored:									
CCR	87465(h)(1)	(1) Medications shall be centrally stored under the following circumstances:									
CCR	87465(h)(1)(A)	(A) The preservation of medicines requires refrigeration, if the resident has no private refrigerator.									
CCR	87465(h)(1)(B)	(B) Any medication is determined by the physician to be hazardous if kept in the personal possession of the person for whom it was prescribed.									
CCR	87465(h)(1)(C)	(C) Because of potential dangers related to the medication itself, or due to physical arrangements in the facility and the condition or the habits of other persons in the facility, the medications are determined by either a physician, the administrator, or Department to be a safety hazard to others.									
CCR	87465(h)(2)	(2) Centrally stored medicines shall be kept in a safe and locked place that is not accessible to persons other than employees responsible for the supervision of the centrally stored medication. .									

Requirement		Personnel Records-Training	Specialty	In Compliance?			Deficiency Type				
Type	Section	Regulation/Statute Language	Tool	Yes	No	N/A	Type A	Type B	TV	TA	Notes
CCR	87355(e)	(e) Documentation shall be available at the facility for inspection by the Department.									
CCR	87355(e)(1)	(1) Obtain a California clearance or a criminal record exemption as required by the Department or									
CCR	87355(e)(2)	(2) Request a transfer of a criminal record clearance as specified in Section 87355(c) or									
CCR	87355(e)(3)	(3) Request and be approved for a transfer of a criminal record exemption, as specified in Section 87356(r), unless, upon request for a transfer, the Department permits the individual to be employed, reside or be present at the facility.									
CCR	87355(j)	(j) The licensee shall maintain documentation of criminal record clearances or criminal record exemptions of employees in the individual's personnel file as required in Section 87412, Personnel Records.									
CCR	87355(k)	(k) The licensee shall maintain documentation of criminal record clearances or criminal record exemptions of volunteers that require fingerprinting and non-client adults residing in the facility.									
CCR	87355(k)(1)	(1) All individuals subject to a criminal record review pursuant to Health and Safety Code Section 1569.17(b) shall prior to working, residing or volunteering in a licensed facility:									
CCR	87412(a)	(a) The licensee shall ensure that personnel records are maintained on the licensee, administrator and each employee.									
CCR	87412(b)	(b) Personnel records shall be maintained for all volunteers and shall contain the following:									
CCR	87412(c)	(c) Licensees shall maintain in the personnel records verification of required staff training and orientation.									
CCR	87412(d)	(d) The licensee shall maintain documentation that an administrator has met the certification requirements specified in Section 87406, Administrator Certification Requirements or the recertification requirements in Section 87407, Administrator Recertification Requirements.									
CCR	87412(g)	(g) All personnel records shall be maintained at the facility.									
CCR	87412(g)(1)	(1) The licensee shall be permitted to retain such records in a central administrative location provided that they are readily available to the licensing agency at the facility as specified in Section 87412(f).									

Requirement			Resident Rec-Incident Reports	Specialty	In Compliance?			Deficiency Type			
Type	Section	Regulation/Statute Language	Tool	Yes	No	N/A	Type A	Type B	TV	TA	Notes
CCR	87506(a)	(a) The licensee shall ensure that a separate, complete, and current record is maintained for each resident in the facility or in a central administrative location readily available to facility staff and to licensing agency staff.									
CCR	87506(c)	(c) All information and records obtained from or regarding residents shall be confidential.									
CCR	87506(c)(1)	(1) The licensee shall be responsible for storing active and inactive records and for safeguarding the confidentiality of their contents. The licensee and all employees shall reveal or make available confidential information only upon the resident's written consent or that of his designated representative.									

Requirement		Resident Rights-Information	Specialty	In Compliance?			Deficiency Type				
Type	Section	Regulation/Statute Language	Tool	Yes	No	N/A	Type A	Type B	TV	TA	Notes
HSC	1569.267(b)	(b) Licensees shall prominently post, in areas accessible to the residents and their representatives, a copy of the residents' rights.									
HSC	1569.313	1569.313 Each residential care facility for the elderly shall state, on its client information form or admission agreement, and on its patient's rights form, the facility's policy concerning family visits and other communication with resident clients and shall promptly post notice of its visiting policy at a location in the facility that is accessible to residents and families. The facility's policy concerning family visits and communication shall be designed to encourage regular family involvement with the resident client and shall provide ample opportunities for family participation in activities at the facility.									
HSC	1569.157(a)	(a) Every licensed residential care facility for the elderly, at the request of two or more residents, shall assist the residents in establishing and maintaining a single resident council at the facility. The resident council shall be composed of residents of the facility. Family members, resident representatives, advocates, long-term care ombudsman program representatives, facility staff, or others may participate in resident council meetings and activities at the invitation of the resident council.									
HSC	1569.157(h)	(h) The text of this section with the heading "Rights of Resident Councils" shall be posted in a prominent place at the facility accessible to residents, family members, and resident representatives.									
HSC	1569.33(i)(1)	(i) (1) The department shall design, or cause to be designed, a poster that contains information on the appropriate reporting agency in case of a complaint or emergency.									
HSC	1569.33(i)(2)	(2) Each residential care facility for the elderly shall post this poster in the main entryway of its facility.									
HSC	1569.881(b)	(b) Every residential care facility for the elderly shall conspicuously post in a location accessible to the public view within the facility either a complete copy of the admission agreement or a notice of its availability from the facility.									

Requirement		Food Service	Specialty	In Compliance?				Deficiency Type				
Type	Section	Regulation/Statute Language	Tool	Yes	No	N/A	Type A	Type B	TV	TA	Notes	
CCR	87555(b)	(b)The following food service requirements shall apply:										
CCR	87555(b)(19)	(19) There shall be one or more dining rooms or similar areas suitable for serving residents at a meal service, in shifts where appropriate. The dining areas shall be convenient to the kitchen so that food may be served quickly and easily and shall be attractive and promote socialization among the diners.										
CCR	87555(b)(20)	(20) The ventilating systems in food preparation areas shall be maintained in working order and shall be operated when food is being prepared. Food preparation equipment shall be placed to provide aisles of sufficient width to permit easy movement of personnel, mobile equipment and supplies.										
CCR	87555(b)(21)	(21) Freezers of adequate size shall be maintained at a temperature of 0 degree F (-17.7 degree C), and refrigerators of adequate size shall maintain a maximum temperature of 40 degree F. (4 degree C). They shall be kept clean and food stored to enable adequate air circulation to maintain the above temperatures.										
CCR	87555(b)(22)	(22) Adequate space shall be maintained to accommodate equipment, personnel and procedures necessary for proper cleaning and sanitizing of dishes and other utensils.										
CCR	87555(b)(24)	(24) Pesticides and other toxic substances shall not be stored in food storerooms, kitchen areas, or where kitchen equipment or utensils are stored.										
CCR	87555(b)(25)	(25) Soaps, detergents, cleaning compounds or similar substances shall be stored in areas separate from food supplies.										
CCR	87555(b)(26)	(26) Supplies of nonperishable foods for a minimum of one week and perishable foods for a minimum of two days shall be maintained on the premises.										
CCR	87555(b)(31)	(31) Dishes and utensils shall be disinfected:										
CCR	87555(b)(31)(A)	(A) In facilities using mechanical means, by either maintaining hot water at a minimum temperature of 170 degree F (77 degree C) at the final rinse cycle of dishwashing machines, or by disinfecting as specified in (B) below.										
CCR	87555(b)(31)(B)	(B) In facilities not using mechanical means, by an alternative comparable method approved by the licensing agency or by the local health department, such as the addition of a sanitation agent to the final rinse water.										
CCR	87555(b)(32)	(32) Equipment of appropriate size and type shall be provided for the storage, preparation and service of food and for sanitizing utensils and tableware, and shall be well maintained.										

Requirement		Incidental M&D	Specialty	In Compliance?			Deficiency Type				
Type	Section	Regulation/Statute Language	Tool	Yes	No	N/A	Type A	Type B	TV	TA	Notes
CCR	87465(a)(9)	(a)(9) If a facility has no medical unit on the grounds, a complete first aid kit shall be maintained and be readily available in a specific location in the facility. The kit shall be a general type approved by the American Red Cross, or shall contain at least the following:									
CCR	87465(a)(9)(A)	(A) A current edition of a first aid manual approved by the American Red Cross, the American Medical Association or a state or federal health agency.									
CCR	87465(a)(9)(B)	(B) Sterile first aid dressings.									
CCR	87465(a)(9)(C)	(C) Bandages or roller bandages.									
CCR	87465(a)(9)(D)	(D) Scissors.									
CCR	87465(a)(9)(E)	(E) Tweezers.									
CCR	87465(a)(9)(F)	(F) Thermometers.									
CCR	87607(a)	(a) A licensee is permitted to maintain and operate an AED at the facility if all of the following requirements are met:									
CCR	87607(a)(1)	(1) The licensee shall notify the licensing agency in writing that an AED is in the facility and will be used in accordance with all applicable federal and other state requirements.									
CCR	87607(a)(3)	(3) The licensee shall maintain at the facility the following:									
CCR	87607(a)(3)(B)	(B) A training manual from an American Heart Association- or American Red Cross-recognized AED training class.									
CCR	87607(a)(3)(C)	(C) A log of checks of operation of the AED containing the dates checked and the name of person checking.									
CCR	87607(a)(3)(D)	(D) A copy of a valid AED operator's certificate for any employee(s) authorized by the licensee to operate the AED. The certificate shall indicate that the AED training course completed complies with the standards of the American Heart Association or the American Red Cross. If it does not, then other evidence indicating that the AED training course completed complies with the standards of the American Heart Association or the American Red Cross shall be available at the facility.									
CCR	87607(a)(4)	(4) A supply kit shall be maintained at the facility and be readily available for use with the AED. The kit shall contain at least the following:									
CCR	87607(a)(4)(A)	(A) A back-up battery set.									
CCR	87607(a)(4)(B)	(B) An extra set of pads.									
CCR	87607(a)(4)(C)	(C) A safety razor for shaving chest hair when necessary to apply the pads.									
CCR	87607(a)(4)(D)	(D) A cardiovascular pulmonary resuscitation barrier (a face shield or mask) for protection from transmission of infectious disease.									
CCR	87607(a)(4)(E)	(E) Two pairs of unused medical examination gloves (latex or non-latex).									



Requirement			Disaster Preparedness	Specialty	In Compliance?				Deficiency Type			
Type	Section	Regulation/Statute Language	Tool	Yes	No	N/A	Type A	Type B	TV	TA	Notes	
HSC	1569.695(c)	(c) The department's Community Care Licensing Division shall confirm, during comprehensive licensing visits, that the plan is on file at the facility.										
HSC	1569.695(e)	(e) This subdivision shall not apply to residential care facilities for the elderly that have obtained a certificate of authority to offer continuing care contracts, as defined in paragraph (5) of subdivision (c) of Section 1771.										
CCR	87212(a)	(a) Each facility shall have a disaster and mass casualty plan of action. The plan shall be in writing and shall be readily available.										